



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/592,845
Applicant : Mark Rosenberg
Filed : June 13, 2000
Title : INVESTMENT STRUCTURE AND METHOD HAVING FIXED
AND CONTINGENT COMPONENTS
TC/A.U. : 3624
Examiner : Subramanian, Narayanswamy
Docket No. : 5547
Customer No. : 038598

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GROUP 3600

Commissioner of Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.111

Sir:

In response to the Office Action mailed January 14, 2004, please amend the above-identified application as follows:

Amendments to the Claims begin on page 2 of this response.

Remarks/Arguments begin on page 16 of this response.